

Global Electronic Discovery and Investigations

The rise in complex, high-stakes litigation and heightened regulatory scrutiny of today's business environment has increased the need for sophisticated and responsive electronic discovery solutions. Duff & Phelps' integrated Legal Management Consulting services provide clients with a proactive, swift and cost-effective suite of solutions.



Our electronic discovery professionals come from diverse backgrounds including, high-technology companies, network-security boutiques, accounting firms, law firms and corporate security. Helping corporations respond when outside or internal forces demand the identification, assessment and production of electronic data is the business of Duff & Phelps' Global Electronic Discovery and Investigations practice.

Duff & Phelps maintains a specialized team of seasoned, selectively recruited professionals who understand the challenges associated with preserving, collecting, analyzing and producing data in high-stakes litigation, internal investigations and in response to governmental inquiries.

Our eDiscovery Lifecycle Management (eDLM) methodology, designed exclusively to enhance eDiscovery best practices, can reduce costs by as much as 50% in complex litigations. We are unique in providing independent and objective electronic discovery, computer forensics, data analytics and investigative services to corporations in a wide variety of industries and geographic locations.

ORGANIZATIONS FACED WITH INVESTIGATIONS, REGULATORY INQUIRIES, DISPUTES OR LITIGATION ENCOUNTER MANY COMPLEX BUSINESS AND TECHNOLOGY CHALLENGES. DUFF & PHELPS PROFESSIONALS LEVERAGE THEIR DIVERSE EXPERIENCE TO HELP CLIENTS OVERCOME THESE OBSTACLES.

Types of Engagements

Following is a sample of the professional services Duff & Phelps provides through all phases of a dispute or investigation.

- Attorney Review Management
- Collection and Preservation of Electronic and Physical Records
- Data Preservation, Auditing and Monitoring
- Discovery Strategy and Cost Shifting Analysis
- 26 (F) "Meet & Confer" Conference Assistance
- Expert Testimony
- Fraud Investigations
- Governmental Regulatory Inquiries
- Identity Theft and Data Privacy Compliance
- Independent Vendor Selection and Management
- Internal Corporate Investigations
- Internet and Cyber Crime Investigations
- Intellectual Property Disputes
- Litigation Readiness Programs
- Litigation Response Analysis
- Network Architecture Data-Mapping
- Network Intrusions
- Software and Hardware Systems Dispute Analysis
- Theft of Trade Secrets

Duff & Phelps' pioneering electronic discovery professionals continue to lead complex, groundbreaking matters. During their careers, members of our team have been involved in numerous high-profile, high-stakes engagements including:

- Engaged by a hedge fund being investigated by the SEC relating to its marketing of Collateralized Debt Obligations ("CDOs") in the mortgage industry. Led a team of eDiscovery experts in preserving, restoring, processing and analyzing electronic data responsive to expansive SEC demands for records. Provided guidance to counsel regarding selection of software review tools and trained counsel on use of selected tool.
- Engaged by a Special Committee of the Board of Directors of a major U.S. technology firm to assess the likelihood of evidence of backdating of stock options. Conducted an investigation of contemporary and historical electronic data of past and current employees and other sources, which resulted in the record-breaking rapid processing of over 15 terabytes of data and successful representations of fact to the SEC and DOJ.
- Engaged by an electronics manufacturer to track down the sender of anonymous emails requesting payment in exchange

for identification of counterfeiting operations in Asia. Investigation identified the blackmailer as the plant manager of a former licensee and located the counterfeiting operations.

- Engaged to manage the global collection of electronic data from a Fortune 500 company in preparation for a U.S. Department of Justice investigation. Facilitated the secure forensic collection while providing minimal disruption to operations. Managed teams and data privacy issues in numerous foreign jurisdictions and in local languages. Processed foreign-language based electronic evidence and provided strategic investigative guidance to the client.
- Engaged by a Fortune 500 company involved in a massive antitrust litigation to review outside counsel and internal efforts relating to preservation and acquisition of all electronic data responsive to far-reaching discovery requests in multiple locations throughout the U.S. Presented findings to the General Counsel which resulted in implementation of new preservation and retention policies and the hiring of internal eDiscovery experts. Subsequently provided the legal department with advice and guidance regarding the selection and implementation of case-management and eDiscovery tracking software.

For more information please visit our website:

www.duffandphelps.com

About Duff & Phelps

As a leading global independent provider of financial advisory and investment banking services, Duff & Phelps delivers trusted advice to our clients principally in the areas of valuation, transactions, financial restructuring, dispute and taxation. Our world class capabilities and resources, combined with an agile and responsive delivery, distinguish our clients' experience in working with us. With offices in North America, Europe and Asia, Duff & Phelps is committed to fulfilling its mission to protect, recover and maximize value for its clients.

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