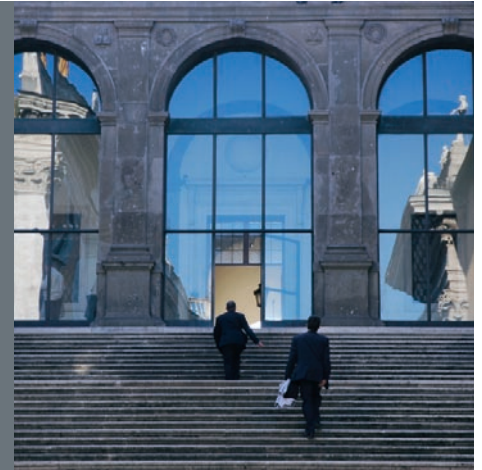


As organizations operate under increasingly complex legal and regulatory mandates, the roles of the chief legal officer and corporate legal department are evolving rapidly. Success in this environment demands skills and competencies that address a wide variety of emerging issues, including corporate compliance, merger integration, and electronic discovery. Helping chief legal officers and their staffs meet their most important business challenges is the business of Duff & Phelps.



## LEGAL MANAGEMENT CONSULTING

Duff & Phelps offers a specialized team of selectively recruited professionals with decades of experience in all areas of legal strategy, operations, technology and risk mitigation. Our legal management consulting professionals have the specialized industry knowledge, proven analytical skills, objectivity and advanced methodologies needed to execute solutions in both traditional and evolving legal business environments.

### How We Can Help

#### Law Department Strategy and Operations

Duff & Phelps can help chief legal officers enhance both the actual and perceived value of their departments by facilitating development of an executable plan designed to support corporate goals. Our legal management consulting professionals frame the plan's scope and details with the knowledge that success will ultimately depend on the legal department's ability to deliver what corporate management wants most – risk mitigation, high-quality legal work, and improved efficiency and cost-effectiveness.

#### Legal and Regulatory Compliance Program Development and Monitoring

The legal management consulting team at Duff & Phelps understands that building a comprehensive compliance program involves creating a culture of risk awareness and making appropriate behavior part of the fabric of the organization. Duff & Phelps has extensive experience creating and executing enterprise-wide compliance programs. We have developed a comprehensive set of tools and methodologies that help clients:

- Identify risk gaps
- Craft policy
- Build infrastructure
- Provide compliance training and communication

We also specialize in the unique requirements of electronic readiness, a critical necessity for all corporations.

Duff & Phelps understands that the difference between good and great leaders is often not wisdom and ability, but the quality of their supporting infrastructure. That's why great leaders devote so much attention to creating best-in-class support structures.

### **Computer Forensic and Electronic Discovery**

As legal practice becomes more complex and regulatory compliance more important, legal professionals must make fundamental operational changes in order to thrive. Duff & Phelps provides computer forensic and electronic discovery services to support incident response, investigation and dispute matters. We understand the challenges associated with transforming legal and regulatory risk management functions into value-added operations. In fact, our experience in investigations and disputes has led to requests from corporations and law firms for such services as identification, collection, conversion, preservation, recovery and expert analysis of electronic evidence.

### **Law Department Merger and Acquisition Integration**

Duff & Phelps legal management consulting professionals understand the complexities involved in integrating and assimilating people, processes and technologies following a merger or acquisition. Our Integration Checklist – designed primarily for chief legal officers – can serve as a blueprint for ensuring that the legal management team has covered its risk issues and aligned itself with enterprise-wide initiatives. Grounded in proven methodologies, our comprehensive analysis addresses compliance, resource, workload, process and support system issues to help the office of the general counsel limit liabilities, maximize return, and create and measure opportunities.

### **Records and Information Management**

Today, the fundamentals of records management remain the same as always: create a comprehensive policy and ensure enterprise-wide compliance to the rules. The challenge, however, is that while policies must be consistent, the procedures needed to manage and synchronize records stored on diverse media are often very different and complex. Our professionals have created, implemented and administered records management and compliance programs across a variety of industries. We have the skills and experience to help ensure that corporate legal staff members always have defensible records whenever they need them – in the right form, in the right place and at the right time.

### **Technology Planning and Implementation**

Duff & Phelps recognizes that successful technology deployment must demonstrate both measurable value to users and a realized return on investment. We believe that technology serves two fundamental business purposes in a legal environment:

- To deliver meaningful data that supports expectations and drives practice improvement
- To provide cost-effective knowledge management and information-sharing in support of legal and regulatory processes

Our professionals have significant experience in the application of technology to legal practice, covering such areas as litigation support, electronic discovery, case management, electronic billing, shared resource integration and infrastructure design.

### **About Duff & Phelps**

As a leading global independent provider of financial advisory and investment banking services, Duff & Phelps delivers trusted advice to our clients principally in the areas of valuation, transactions, financial restructuring, dispute and taxation. Our world-class capabilities and resources, combined with an agile and responsive delivery, distinguish our clients' experience in working with us. With more than 1,200 employees serving clients worldwide through offices in North America, Europe and Asia, Duff & Phelps is committed to fulfilling its mission to protect, recover and maximize value for its clients. Investment banking services are provided by Duff & Phelps Securities, LLC. Duff & Phelps Securities, Ltd is authorized and regulated by The Financial Services Authority. (NYSE: DUF)

**For further information, please visit our Web site at [www.duffandphelps.com](http://www.duffandphelps.com).**