

# Unclaimed Property Portal

Even the most sophisticated organizations are challenged in managing the information necessary to satisfy the burgeoning unclaimed property tracking and reporting requirements. In today's environment, states are actively pursuing unclaimed property as a means to mitigate budget shortfalls.



DUFF & PHELPS' UNCLAIMED PROPERTY PORTAL IS A ROBUST WEB-BASED, UNCLAIMED PROPERTY TRACKING AND ANALYSIS TOOL

The states and their auditors are oftentimes more interested in auditing for information corporate "holders" are not reporting as unclaimed property than what actually gets remitted to the states.

In order to help companies better manage their unclaimed property compliance workflow, Duff & Phelps has developed a new tool, the Unclaimed Property Portal ("the portal"). The portal tracks and manages current and future potential unclaimed property items while maintaining an audit trail over the entire process. Use of the portal ensures that holders are meeting the explicit reporting requirements, while creating a defensible audit trail of property items that meet any of numerous exceptions from reporting.

## Security

- Secure web access to the portal
- Role-based, granular controls for limiting access by data type or data owner
- Dedicated database for storing client data

## Data Management

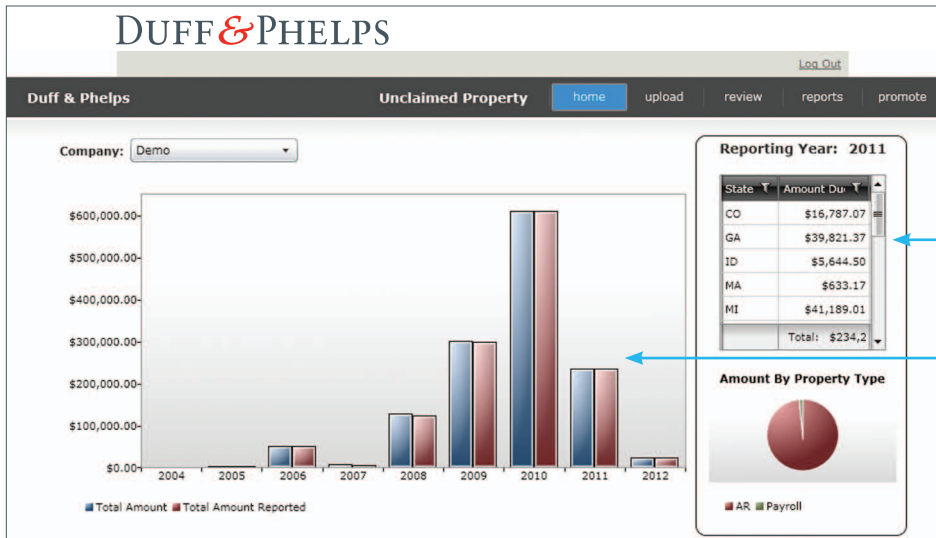
- Upload files up to 1GB in size
- Multiple file types supported (MS Excel, Comma-Separated Values)
- Append or overwrite existing data sets
- Capability to review and resolve conflicts between existing and newly uploaded data
- Sophisticated tools for manipulating data to ease the review process
- Inactivate property and electronically attach supporting documentation
- Archive property that has been remitted to either the appropriate owner or state

## Reporting

- Standard reports provide visibility into all aspects of pending property and property within the due diligence and reporting process
- Filter and sort reports by standard and client defined data fields
- Export reports as PDF or MS Excel
- Reports combine data from the portal and Unclaimed Property management software to provide 'cradle-to-grave' tracking of unclaimed property

## Integration

- Direct integration with UP management software
- Promote data directly from the portal into UP management software for processing
- Monitor status of property in both the portal and UP management software for a real-time snapshot of unclaimed property liability
- Direct integration with leading ERP systems (Under development)



CORRESPONDING STATE SUMMARY LISTING OF UNCLAIMED PROPERTY LIABILITY

GRAPHICAL REPRESENTATION OF PAST, CURRENT AND FUTURE LIABILITY

The screenshot shows the search interface with various filters: Entity (ALL), Property Type (ALL), Due Year (0), State(s) (All States), Status (Active/Inactive), Last Name, Account No., and Check No. A 'Deactivation Reason(s)' dialog box is open, showing 'Reason Category: Accounting Error - Not Unclaimed'. Below the dialog, an 'Upload Done' section shows a file 'Accounting Error Support.pdf' (25.58 KB) and a 'Total' of 100% (25.58 KB). A table in the background lists reporting states and property types.

SEARCH UNCLAIMED PROPERTY LISTING BASED ON NUMEROUS SEARCH CRITERIA

ATTACH DOCUMENTATION SUPPORTING REMOVAL OF ITEMS FROM THE UNCLAIMED PROPERTY LIST

For more information please visit our website:  
[www.duffandphelps.com](http://www.duffandphelps.com)

**About Duff & Phelps**

As a leading global independent provider of financial advisory and investment banking services, Duff & Phelps delivers trusted advice to our clients principally in the areas of valuation, transactions, financial restructuring, dispute and taxation. Our world class capabilities and resources, combined with an agile and responsive delivery, distinguish our clients' experience in working with us. With offices in North America, Europe and Asia, Duff & Phelps is committed to fulfilling its mission to protect, recover and maximize value for its clients.

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